

PTO/SB/21 (09-06)  
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|                                          |                                      |                                        |
|------------------------------------------|--------------------------------------|----------------------------------------|
| Application Number                       | 10/659,596 (U.S. Pat. No. 7,045,439) |                                        |
|                                          | Filing Date                          | September 9, 2003 (Iss'd May 16, 2006) |
|                                          | First Named Inventor                 | Fernando Gonzalez                      |
|                                          | Art Unit                             | 2818                                   |
|                                          | Examiner Name                        | Thao P. Le                             |
| Attorney Docket Number                   | MI22-2393                            |                                        |
| Total Number of Pages in This Submission | 8                                    |                                        |

## ENCLOSURES (Check all that apply)

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>PTO Return Receipt Postcard; Check for Fees; Request for Certificate of Correction - App. and PTO mistakes; Certificate of Correction in duplicate |
| <div>Remarks</div> <div>Customer No. 021567</div> <div><b>Certificate</b><br/><b>APR 18 2007</b><br/><b>of Correction</b></div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|              |                          |          |        |
|--------------|--------------------------|----------|--------|
| Firm Name    | Wells St. John P.S.      |          |        |
| Signature    |                          |          |        |
| Printed name | David G. Latwesen, Ph.D. |          |        |
| Date         | April 18, 2007           | Reg. No. | 38,533 |

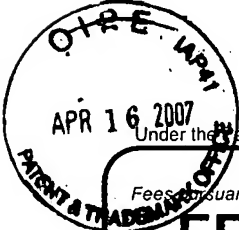
## CERTIFICATE OF TRANSMISSION/MAILING

|                                                                                                                                                                                                                                                                                                               |                 |      |                |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|------|----------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: |                 |      |                |
| Signature                                                                                                                                                                                                                                                                                                     |                 |      |                |
| Typed or printed name                                                                                                                                                                                                                                                                                         | Elizabeth Weber | Date | April 18, 2007 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**APR 18 2007**



Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2007

☐ Applicant claims small entity status. See 37 CFR 1.27

### Complete if Known

|                      |                                |
|----------------------|--------------------------------|
| Application Number   | 10/659,596 (US Pat# 7,045,439) |
| Filing Date          | 09/09/03 (Iss'd 05/16/06)      |
| First Named Inventor | Fernando Gonzalez              |
| Examiner Name        | Thao P. Le                     |
| Art Unit             | 2818                           |
| Attorney Docket No.  | Mi22-2393                      |

TOTAL AMOUNT OF PAYMENT (\$) 100.00

### METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 23-0925 Deposit Account Name: Wells St. John P.S.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |                |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 100                   |                |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    |                |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    |                |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   |                |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     |                |

#### 2. EXCESS CLAIM FEES

| Fee Description                                                        | Fee (\$)            | Small Entity Fee (\$) |
|------------------------------------------------------------------------|---------------------|-----------------------|
| Each claim over 20 (including Reissues)                                | 50                  | 25                    |
| Each independent claim over 3 (including Reissues)                     | 200                 | 100                   |
| Multiple dependent claims                                              | 360                 | 180                   |
| <b>Total Claims</b>                                                    | <b>Extra Claims</b> | <b>Fee (\$)</b>       |
| - 20 or HP =                                                           | x                   | =                     |
| HP = highest number of total claims paid for, if greater than 20.      |                     |                       |
| <b>Indep. Claims</b>                                                   | <b>Extra Claims</b> | <b>Fee (\$)</b>       |
| - 3 or HP =                                                            | x                   | =                     |
| HP = highest number of independent claims paid for, if greater than 3. |                     |                       |

| Fee (\$)                         | Small Entity Fee (\$) |
|----------------------------------|-----------------------|
| 50                               | 25                    |
| 200                              | 100                   |
| 360                              | 180                   |
| <b>Multiple Dependent Claims</b> |                       |
| <b>Fee (\$)</b>                  | <b>Fee Paid (\$)</b>  |

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets - 100 = Extra Sheets / 50 = Number of each additional 50 or fraction thereof (round up to a whole number) x Fee (\$)

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Request for Certificate of Correction

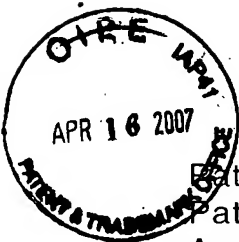
Fees Paid (\$)  
\$100.00

|                                            |                                          |                          |
|--------------------------------------------|------------------------------------------|--------------------------|
| SUBMITTED BY                               |                                          |                          |
| Signature                                  | Registration No. (Attorney/Agent) 38,533 | Telephone (509) 624-4276 |
| Name (Print/Type) David G. Latwesen, Ph.D. | Date April 13 2007                       |                          |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

APR 18 2007



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No. .... US 7,045,439 B2  
Patent Issue Date ..... May 16, 2006  
Application Serial No. .... 10/659,596  
Filing Date ..... September 9, 2003  
Assignee ..... Micron Technology, Inc.  
Inventorship ..... Fernando Gonzalez  
Attorney's Docket No. .... MI22-2393  
Title: Methods of Forming Semiconductor Constructions

**REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT FOR  
APPLICANT MISTAKE and PTO MISTAKES (37 C.F.R. §§ 1.322(a) and 1.323)**

To: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
ATTN: Decision and Certificate of Correction  
Branch of the Patent Issue Division

From: David G. Latwesen, Ph.D. (Tel. 509-624-4276; Fax 509-838-3424)  
Wells St. John P.S.  
601 W. First Avenue, Suite 1300  
Spokane, WA 99201-3828

Sir:

It is hereby requested that a Certificate of Correction be issued with respect to Patent No. 7,045,439, granted May 16, 2006, in accordance with the Certificate of Correction form attached hereto in duplicate.

It is noted that an error appears in this patent of a typographical nature of character, as more fully described below. The error occurred in good faith. Correction thereof does not involve such changes in the patent as would constitute new matter or would require re-examination.

04/16/2007 BABRAHA1 00000036 7045439

01 FC:1811

100.00 OP

APR 18 2007

The exact page and line number where the error occurs in the application file are:

Page 26, paragraph 12 in the Specification/Col. 10, line 16 in the issued patent.

Other errors listed on the Certificate of Correction form were apparently incurred through the fault of the PTO as will be disclosed by the records of files in the Office.

Attached hereto, in duplicate, is Form PTO-1050, with at least one copy being suitable for printing.

Enclosed is a check in the amount of \$100.00, as required by 37 CFR 1.20(a).

Respectfully submitted,

Dated: 4/13/07

By: 

David G. Latwesen, Ph.D.  
Reg. No. 38,533

APR 18 2007

UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION

PATENT NO. : US 7,045,439 B2  
ISSUED : May 16, 2006  
APPLICATION NO.: 10/659,596  
INVENTORS : Fernando Gonzalez

It is certified that an error or errors appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Col. 7, line 16 –

Replace “monocrystalline material **102**. Such problem can&be”  
With --monocrystalline material **102**. Such problem can be --

Col. 7, line 38 –

Replace “shown, or only partially into base.**102** in other embodiments”  
With -- shown, or only partially into base **102** in other embodiments --

Col. 10, line 16 –

Replace “**5**. The method of claim **4** the first and second”  
With --**5**. The method of claim **4** wherein the first and second --

Page  
1 of 1

**Mailing Address of Sender:**

David G. Latwesen, Ph.D.  
Wells St. John P.S.  
601 West First Avenue, Suite 1300  
Spokane, WA 99201-3828

U.S. Patent No.: 7,045,439 B2

APR 18 2007